

10/54048/
JC09 R-1d PCT/PTO 23 JUN 2005
#2
COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Takayuki ABE et al. : **Mail Stop: PCT**

Serial No. NEW : Attorney Docket No. 2005_1034A

Filed June 23, 2005 :

POLYGONAL BARREL SPUTTERING
DEVICE, POLYGONAL BARREL
SPUTTERING METHOD, COATED FINE
PARTICLE FORMED BY THE DEVICE AND
METHOD, MICRO CAPSULE, AND METHOD
OF PRODUCING THE MICRO CAPSULE

[Corresponding to PCT/JP2003/016244

Filed December 18, 2003]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request
consideration of the references listed on attached form PTO-1449 and any additional information
identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449
is enclosed, except a copy is not provided for:

- each U.S. Patent and U.S. Patent application publication;
- each reference previously cited in the international application
PCT/_____; and/or
- each reference previously cited in prior parent application Serial No.
_____.

1a. This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. This Information Disclosure Statement is submitted
after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) the certification of paragraph 2 below is provided, **or**
(2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2: It is hereby certified
- a. that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
 - b. that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification

10/540487

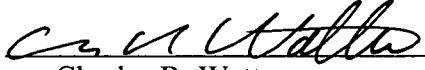
JPO9 Rec'd PCT/PTO 23 JUN 2005

after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
 - a. a full or partial English language translation submitted herewith,
 - b. the International Search Report (in the English language) submitted herewith,
 - c. the concise explanation contained in the specification of the present application at page,
 - d. the concise explanation set forth in the attached English language abstract,
 - e. the concise explanation set forth below or on a separate sheet attached to the reference:
5. A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Takayuki ABE et al.

By 
Charles R. Watts
Registration No. 33,142
Attorney for Applicants

CRW/asd
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
June 23, 2005

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RM/

11/03/2008

FORM PTO 1449 (*modified*)ATTY DOCKET NO.
2005_1034ASERIAL NO. 1510487
NEWU.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: June 23, 2005

APPLICANT
Takayuki ABE et al.FILING DATE
June 23, 2005

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/RM/	AG	2001-207261	7/2001	JP			Abstract
/RM/	AH	5-271920	10/1993	JP			Abstract
/RM/	AI	5-271921	10/1993	JP			Abstract
/RM/	AJ	61-030663	2/1986	JP			Abstract
/RM/	AK	2000-109969	4/2000	JP			Abstract
/RM/	AL	2-14360	1/1990	JP			Partial
/RM/	AM	56-041375	4/1981	JP			Abstract
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

/Rodney McDonald/

DATE CONSIDERED

11/03/2008